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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/780,540	02/12/2001	Yoshihisa Hirayama	01028	8391

7590                    09/08/2003

DENNISON, MESEROLE, SCHEINER & SCHULTZ  
Suite 612  
1745 Jefferson Davis Highway  
Arlington, VA 22202-3417

[REDACTED] EXAMINER

NGUYEN, THONG Q

[REDACTED] ART UNIT

[REDACTED] PAPER NUMBER

2872

DATE MAILED: 09/08/2003

Please find below and/or attached an Office communication concerning this application or proceeding.

## Office Action Summary

	Application No.	Applicant(s)
	09/780,540	HIRAYAMA ET AL.
	Examiner	Art Unit
	Thong Q. Nguyen	2872

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

1) Responsive to communication(s) filed on 03 July 2003.

2a) This action is FINAL.                  2b) This action is non-final.

3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

4) Claim(s) 7 is/are pending in the application.

4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.

5) Claim(s) \_\_\_\_\_ is/are allowed.

6) Claim(s) 7 is/are rejected.

7) Claim(s) \_\_\_\_\_ is/are objected to.

8) Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

9) The specification is objected to by the Examiner.

10) The drawing(s) filed on \_\_\_\_\_ is/are: a) accepted or b) objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).

11) The proposed drawing correction filed on \_\_\_\_\_ is: a) approved b) disapproved by the Examiner.  
If approved, corrected drawings are required in reply to this Office action.

12) The oath or declaration is objected to by the Examiner.

### Priority under 35 U.S.C. §§ 119 and 120

13) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).

a) All b) Some \* c) None of:

1. Certified copies of the priority documents have been received.
2. Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

14) Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).

a) The translation of the foreign language provisional application has been received.

15) Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.

### Attachment(s)

1) <input type="checkbox"/> Notice of References Cited (PTO-892)	4) <input type="checkbox"/> Interview Summary (PTO-413) Paper No(s). _____
2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)	5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152)
3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449) Paper No(s) _____	6) <input type="checkbox"/> Other: _____

## DETAILED ACTION

### ***Response to Amendment***

1. The present Office action is made in response to the amendment (Paper No. 20) of 7/3/2003. It is noted that in the mentioned amendment, applicant has canceled claim 1 and added new claim 7 into the application. The claim 7 is examined in this Office action. Claims 2-6 were canceled in the amendment (Paper No. 8) of 12/19/2001.

### ***Specification***

2. The specification is objected to as failing to provide proper antecedent basis for the claimed subject matter. See 37 CFR 1.75(d)(1) and MPEP § 608.01(o). Correction of the following is required: The specification fails to provide a proper antecedent basis for the function of the gap defined between the diffusion and reflection plate and the rear side surface of he light guide as recited on last three lines of claim 7. Applicant is respectfully invited to review the specification, in particular, page 5, second paragraph and figs. 1-2 which show that the diffusion and reflection plate is positioned/separated by a gap from the rear side surface of the light guide. However, the specification has never disclosed the function of the gap with respect to the brightness of light.

It is also noted that while the specification fails to provide support for the function of the gap between the plate and the rear side surface of the light guide; however, such a function is not considered as a new matter to the application because the (air) gap between two optical element inherently reduces the brightness/intensity of light from one optical element to other optical element.

***Claim Rejections - 35 USC § 103***

3. The text of those sections of Title 35, U.S. Code not included in this action can be found in a prior Office action.
4. Claim 7 is rejected under 35 U.S.C. 103(a) as being unpatentable over Qiao et al (U.S. Patent No. 5,485,291) in view of Tai et al (U.S. Patent No. 5,668,913) and Suzuki (EP 924 549) (all of record).

Qiao et al disclose an edge light for panel display. At columns 2-3 and in figures 2-3, the device comprises a light source system (32) for providing light, a light guide (12) having a front surface (13) facing the light source system, a light discharge surface (21) facing the display panel (31); a reflecting surface (22) disposed opposite the light discharge surface (21) facing a reflector (26) which is disposed parallel to the reflecting surface (22); a rear surface (14) located opposite the front surface (13) wherein the rear surface (14) faces a reflector (28). The discharge surface (21) and the reflecting surface (22) are disposed in a parallel manner. The light reflecting surface (22) comprises a plurality of grooves each has a front side (23) and a rear side (24). Since the angle formed by the front side (23) and the light reflecting surface (22) is in the range of ( $1^\circ$ ,  $15^\circ$ ) and the angle formed by the rear side (24) and the light reflecting surface (22) is in the range of ( $35^\circ$ ,  $55^\circ$ ); therefore, the angle formed by the front side (23) and a line perpendicular to the light discharge surface (17) is in the range of ( $75^\circ$ ,  $89^\circ$ ) and the angle formed by the rear side (24) and a line perpendicular to the light discharge surface (17) is in the range of ( $35^\circ$ ,  $55^\circ$ ). The light rays from the light

source (32) passing through the front surface (13) of the light guide (12) will have a part of light rays being reflected by the front side (23) of each groove towards the display panel (12) as can be seen in rays (30a) described in column 3 and fig. 2., and other light rays which are not reflected by the front side (23) of the groove will be guided by the light guide (12) to the rear end (14) and then those light rays are reflected by the reflector (28) to return to the light guide and reflected from the facets (24) to illuminate the display (31). Regarding to the position of the reflector (28) with respect to the rear side surface (14) of the light guide (12), Qiao et al disclose that the reflector (28) is placed adjacent the end (14) as can be seen in column 2, lines 62-63. Since the place is placed adjacent to the rear side surface of the light guide; therefore, there is a gap defined between the reflector and the rear side surface of the light guide and such a gap will reduce the brightness of the light emitted from the light guide to the reflector and the light reflected from the reflector back to the rear side surface of the light guide. As such, the display panel is illuminated by both light rays reflected by the front side (23) and the rear side (24) of each groove formed on the reflecting surface (22). With regard to the light source system and its position with respect to the light guide, at column 3 (lines 50+), Qiao et al teach that the light source can be light emitting diodes, and the number of light source be used in the system can be a single light source which is disposed at the central position of the front side surface (23) of the light guide (12). See column 3 and fig. 3.

There are two things missing from the art of Qiao et al as follow: First, they do not clearly state that the reflector (28) facing the rear surface of the light guide is a diffusion and reflection element as claimed, and second, the grooves are continuously formed on the light reflection surface of the light guide.

With regard to the use of a diffusion and reflection element facing a rear surface of a light guide, such a use if known to one skilled in the art as can be seen in the system provided by Suzuki. In particular, Suzuki discloses a light source system having a light guide whose reflective surface comprises a plurality of prism element and a reflector disposed facing the rear surface of the light guide. The reflector (7) as described in columns 9-10 can be made by a film coated in white or a film mixed with a white pigment. Suzuki also disclose two manners in which the reflector is positioned with respect to the rear side surface of the light guide in which one manner is that the reflector is positioned in close contact with the rear surface. See column 4, lines 46-48. Such an arrangement is understood as that there is a gap therebetween the reflector and the rear surface of the light guide. It is also noted that while the present claim recites a gap between the reflector and the rear side surface of the light guide, the claim has not claimed any specific limitations/dimension of the gap. Thus, it would have been obvious to one skilled in the art at the time the invention was made to modify the system provided by Qiao et al by using a diffusing and reflection element facing the rear surface of a light guide as suggested by Suzuki for the purpose of increasing the reflectance and diffusion of light returned to the light guide.

As a result, the system provided by Qiao et al and Suzuki meets all of the features recited in the pending claim except the feature that the grooves are continuously formed in the light reflection surface; however, the arrangement of grooves in a surface in a continuously manner is clearly suggested to one skilled in the art as can be seen in the system provided by Tai et al. In particular, Tai et al discloses an optical guide light member having a reflecting surface with a plurality of grooves. As described in column 10 and shown in figs. 3C and 3E, Tai et al disclose that the grooves can be formed continuously in the surface as can be seen in fig. 3C or the grooves are arranged separated from each other as can be seen in fig. 3E. Thus, it would have been obvious to one skilled in the art at the time the invention was made to modify the device provided by Qiao et al and Suzuki by using a plurality of grooved arranged in a continuous manner as suggested by tai et al for the purpose of improving the light distribution and/or meeting a particular application.

***Response to Arguments***

5. Applicant's arguments filed on 7/3/2003 have been fully considered but they are not persuasive.

With regard to the rejection of the claim 1, now applied to new claim 7, under 35 USC 103(a) over the art of Qiao et al in view of Tai et al and Suzuki, applicant's arguments provided in the amendment (Paper No. 20, pages 3-5) have been fully considered but are not persuasive.

Applicant argues that the cited art does not disclose the gap between the reflector and the rear side surface of the light guide, the examiner respectfully disagrees with the applicant's opinion and respectfully invited the applicant to review the art of record, in particular, the art of Qiao et al and Suzuki.

Regarding to the position of the reflector (28) with respect to the rear side surface (14) of the light guide (12), Qiao et al disclose that the reflector (28) is placed adjacent the end (14) as can be seen in column 2, lines 62-63. Since the place is placed adjacent to the rear side surface of the light guide; therefore, there is a gap defined between the reflector and the rear side surface of the light guide and such a gap will reduce the brightness of the light emitted from the light guide to the reflector and the light reflected from the reflector back to the rear side surface of the light guide. Suzuki also disclose two manners in which the reflector is positioned with respect to the rear side surface of the light guide in which one manner is that the reflector is positioned in close contact with the rear surface. See column 4, lines 46-48. Such an arrangement is understood as that there is a gap therebetween the reflector and the rear surface of the light guide. It is also noted that while the present claim recites a gap between the reflector and the rear side surface of the light guide, the claim has not claimed any specific limitations/dimension of the gap.

### *Conclusion*

6. Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP

§ 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

7. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Thong Q. Nguyen whose telephone number is (703) 308-4814. The examiner can normally be reached on M-F.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Drew A Dunn can be reached on (703) 305-0024. The fax phone number for the organization where this application or proceeding is assigned is (703) 872-9306.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is 703 308 0956.

  
Thong Q. Nguyen  
Primary Examiner  
Art Unit 2872

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